

410 Rec'd PCT/PT 1 5 MAY 2001

Receipt

In re the Application of/ Teiji KOHARA, et al.

Serial Number: 09/622,694

Filed: August 21, 2000

For: LIGHTING EQUIPMENT



2800

RECEIVED

SEP 28 2001  
Group Art Unit: 2875

TC 2000 MAIL ROOM

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

Date: May 15, 2001

859

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Official Filing Receipt, we noted an error in that the number of the Drawings has not been shown (**--4-- should be added**). Copy of the Application Transmittal is enclosed which indicates the correct numbers.

We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

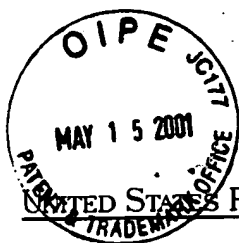
Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI,  
McLELAND & NAUGHTON, LLP

Stephen G. Adrian  
Attorney for Applicants  
Reg. No. 32,878

Atty. Docket No. 001046  
1725 K Street, N.W., Suite 1000  
Washington, DC 20006  
Tel: (202) 659-2930  
Fax: (202) 887-0357  
SGA/ll

Enclosure: Copies of Filing Receipt & Transmittal



UNITED STATES PATENT AND TRADEMARK OFFICE

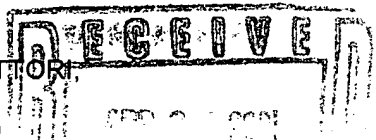
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/622,694         | 08/21/2000  | 2875         | 1940          | 001046          | 4        | 22         | 8          |

CONFIRMATION NO. 8839

23850

ARMSTRONG, WESTERMAN, HATTORI,  
MCLELAND & NAUGHTON, LLP  
1725 K STREET, NW, SUITE 1000  
WASHINGTON, DC 20006



CORRECTED FILING RECEIPT



\*OC00000005993460\*

Date Mailed: 04/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Teiji Kohara, Kanagawa-ken, JAPAN;  
Yuichiro Konishi, Tokyo, JAPAN;  
Junko Maruyama, Kanagawa-ken, JAPAN;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A 371 OF PCT/JP99/00779 02/22/1999

**Foreign Applications**

JAPAN 10-056103 02/20/1998  
JAPAN 10-093992 03/23/1998  
JAPAN 10-094037 03/23/1998  
JAPAN 10-095270 03/24/1998  
JAPAN 10-100653 03/27/1998  
JAPAN 10-111407 04/07/1998  
JAPAN 10-166343 05/29/1998  
JAPAN 10-166331 05/29/1998  
JAPAN 10-172705 06/19/1998  
JAPAN 10-230169 07/30/1998

RECEIVED  
SEP 28 2001  
TO 2000 MAIL ROOM

|   |  |  |
|---|--|--|
| U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE  |  | DATE: <b>August 21, 2000</b>                       |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE<br/>(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>   |  | U.S. APPLN. NO. (if known):                        |
| INTERNATIONAL APPLICATION NO.:<br><b>PCT/JP99/00779</b>   | INTERNATIONAL FILING DATE:<br><b>FEBRUARY 22, 1999</b> | PRIORITY DATE CLAIMED:<br><b>FEBRUARY 20, 1998</b> |
| TITLE OF INVENTION: <b>LIGHTING EQUIPMENT</b>   |  |  |
| APPLICANT(S) FOR DO/EO/US: <b>Teiji KOHARA, Yuichiro KONISHI and Junko MARUYAMA</b>   |  |  |
| Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |  |
| <p>1. <u>XX</u> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <u>   </u> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <u>XX</u> This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <u>XX</u> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <u>XX</u> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 20px;">a. <u>   </u> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <u>XX</u> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <u>   </u> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <u>XX</u> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <u>XX</u> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <u>   </u> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <u>   </u> have been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <u>   </u> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <u>XX</u> have not been made and will not be made.</p> <p>8. <u>   </u> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <u>XX</u> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <u>   </u> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <p>11. <u>XX</u> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report and 10 references.</p> <p>12. <u>XX</u> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <u>   </u> A <b>FIRST</b> preliminary amendment.</p> <p style="margin-left: 20px;"><u>   </u> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>14. <u>   </u> A substitute specification.</p> <p>15. <u>   </u> A change of power of attorney and/or address letter.</p> <p>16. <u>XX</u> Other items or information: 4 sheets of drawings.</p> |  |  |

RECEIVED  
 SEP 28 2000  
 TC 2800 MAIL ROOM